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Docket No. 5000-5303

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Kurita HAJIME, et al.

Group Art Unit:

TBA

Serial No.:

10/570,482

Examiner:

**TBA** 

Filed:

March 1, 2006

For:

VARIABLE DISPLACEMENT SWASH PLATE TYPE COMPRESSOR

## CERTIFICATE OF MAILING (37 C.F.R. §1.8(A))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached:

- 1. Information Disclosure Statement (3 pages, and duplicate);
- 2. Form PTO-1449 (1 page);
- 3. References Cited Therein (1 of 1);
- 4. Return Receipt Postcard;

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted, MORGAN & FINNEGAN, L.L.P.

Dated: August 9, 2006

By: Steven F. Meyer

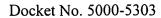
Registration No. 35,613

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Ser	ial No	.:	10/570,482	Group Art Unit:	TBA
File	ed:		March 1, 2006	Examiner:	TBA
For	••		VARIABLE DISPLACEMENT	SWASH PLATE T	YPE COMPRESSOR
			INFORMATION DISCI	OSURE STATEM	<u>IENT</u>
P.C	). Box	1450	22313-1450		
Sir	:				
		Т	his Information Disclosure State	ment is filed in acco	rdance with 37 C.F.R.
§§1	1.56, 1.	.97 and	1 1.98. The items listed on Form	PTO-1449, a copy of	of which is enclosed, are
ma	de of r	ecord t	to assist the Patent and Trademar	k Office in its exam	ination of this application.
The	e Exan	niner is	s respectfully requested to fully co	onsider the items an	d to independently ascertain
the	ir teacl	hing.			
1.	$\boxtimes$	Englis	ach of the items listed on the encl sh language, an English language se explanation of the relevance of	translation of that i	tem or a portion thereof or a
2.		not in	ach of the following items listed of the English language, a concise corated in the specification of the	explanation of the re	elevance of that item is
3.		enclos	copy of the items listed on the end sed with this Information Disclos itted to the Patent and Trademark	ure Statement was p	previously cited by or
4.	$\boxtimes$		e is due under 37 C.F.R. §1.17(p) it is being filed in compliance wi		n Disclosure Statement
			37 C.F.R. §1.97(b)(1), within the application other than a CPA; of		iling date of a national

Docket No. <u>5000-5303</u> Serial No. <u>10/570,482</u>

			37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
			37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
			37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5.		since in para Allow	e is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified agraph 4 above but before the mailing date of a final action or a Notice of ance (where there has been no prior final action), and is accompanied by one of rtifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6.		it is be paragr	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(c), after the period specified in raph 4 above but before the mailing date of a final action or a notice of ance (where there has been no prior final action):
			A check in the amount of \$180.00 is enclosed in payment of the fee.
			Charge the fee to Deposit Account No. <u>13-4500</u> , Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7.		it is be	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final or a notice of allowance, whichever comes first, but before payment of the issued is accompanied by:
			ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 elow; and
			te fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 elow.
8.		This I	nformation Disclosure Statement is being filed in compliance with:
	٠	a. 🗌	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
		b. 🗌	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the

Docket No. <u>5000-5303</u> Serial No. <u>10/570,482</u>

			attached Petition To Wit C.F.R. §1.17(h).	hdraw	Application From	m Issue and f	ee pursuant to 37
		c. 🗌	The fee due under 37 C. below.	F.R. §	§1.17(h) is paid a	s set forth in	paragraph 11
9.	$\boxtimes$	Statem	by certify that each item of tent was first cited in a corporate foreign application at a contact on Disclosure Stateme	mmun not mo	ication from a fo	reign patent o	office in a
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10.	$\boxtimes$		ocument is accompanied a corresponding PC				
11.			k in the amount of \$ §§1.17(h) and 1.17(p).	is en	nclosed in payme	nt of the fees	due under 37
		<u>13-450</u>	e the fees due under 37 C. 00, Order No A I CHED.				
		require Deposi	ommissioner is hereby au ed for this Information Di it Account No. <u>13-4500,</u> ( SHEET IS ATTACHED.	sclosu	re Statement, or o	credit any ove	erpayment to
					Respectfully sul MORGAN & F	-	L.L.P.
Date	ed: <u>Aı</u>	ugust 9,	<u>2006</u>	By:	Steven F. Meye Registration No		9
Corr	espor	ndence 2	Address:		regionation ive	<u>55,015</u>	
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The same	LANGE STORY	attached Petition To Withdra C.F.R. §1.17(h).	w Application From Issue and fee pursuant to 37
	c. 🗌	The fee due under 37 C.F.R. below.	§§1.17(h) is paid as set forth in paragraph 11
9.	Staten counte	nent was first cited in a commu	ormation contained in this Information Disclosure nication from a foreign patent office in a core than three months prior to the filing of this
	filed h counte was kr	erewith was cited in a commur erpart foreign application or, to	nation in the Information Disclosure Statement nication from a foreign patent office in a my knowledge after making reasonable inquiry, ted in §1.56(c) more than three months prior to ure Statement.
10.		ocument is accompanied by n a corresponding PCT or [2	a Search Report 🔀 Communication which was 🗹 Foreign counterpart application
11.		ck in the amount of \$ is e §§1.17(h) and 1.17(p).	enclosed in payment of the fees due under 37
	<u>13-450</u>	e the fees due under 37 C.F.R. 00, Order No A DUPL CHED.	§§1.17(h) and 1.17(p) to Deposit Account No. ICATE COPY OF THIS SHEET IS
	require Deposi	ed for this Information Disclosu	red to charge any additional fees which may be are Statement, or credit any overpayment to No. 5000-5303. A DUPLICATE COPY OF
Dated:	August 9,	2006 D	Respectfully submitted, MORGAN & FINNEGAN, L.L.P.
Dated. 1	rugust 7,	2006 By:	Steven F. Meyer
Correct	andanaa /	A dalman.	Registration No. 35,613
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New York, NY 10281-2101 (212) 415-8701 Facsimile

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INFORMATION DISCLOSURE CITATION

Applicant: Kurita HAJIME, et al.

Filing Date: March 1, 2006

Group Art Unit: TBA

FOREIGN PATENT DOCUMENTS  Examiner   Publication   Sub-	Examiner Initial	Patent Number	Issue Date	Name	Class	Sub- Class	Filing Date
Examiner Initial Patent Number Date Country Class Sub-Class Transl  OTHER DOCUMENTS (Including Author, Title, Date, etc.)  International Preliminary Examination Report issued in Japanese counterpart application dated June 26, 2 (English Translation).	Illitial	Tatent Number	Issue Date	Name	Class	Class	Timing Date
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